

Fill in this information to identify the case:

United States Bankruptcy Court for the:  
District of Delaware

Case number (if known): \_\_\_\_\_ Chapter 11

Check if this is an amended filing

Official Form 201

**Voluntary Petition for Non-Individuals Filing for Bankruptcy**

04/20

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write the debtor's name and the case number (if known). For more information, a separate document, *Instructions for Bankruptcy Forms for Non-Individuals*, is available.

1. Debtor's name Nine Point Energy Holdings, Inc.

2. All other names debtor used in the last 8 years  
Include any assumed names, trade names, and *doing business as* names

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

3. Debtor's federal Employer Identification Number (EIN) 8 2 - 0 8 2 8 3 3 1

4. Debtor's address	<b>Principal place of business</b>	<b>Mailing address, if different from principal place of business</b>
	<u>1001 17th Street</u> Number Street <u>14th Floor</u> <u>Denver Colorado 80202</u> City State ZIP Code	_____ Number Street _____ City State ZIP Code

	<b>Location of principal assets, if different from principal place of business</b>
	<u>Denver</u> _____ County _____ Number Street _____ City State ZIP Code

5. Debtor's website (URL) https://ninepointenergy.com/

6. Type of debtor

Corporation (including Limited Liability Company (LLC) and Limited Liability Partnership (LLP))

Partnership (excluding LLP)

Other. Specify: \_\_\_\_\_

7. Describe debtor's business

A. Check one:

Health Care Business (as defined in 11 U.S.C. § 101(27A))

Debtor Nine Point Energy Holdings, Inc. Case number (if known) \_\_\_\_\_  
Name

- Single Asset Real Estate (as defined in 11 U.S.C. § 101(51B))
- Railroad (as defined in 11 U.S.C. § 101(44))
- Stockbroker (as defined in 11 U.S.C. § 101(53A))
- Commodity Broker (as defined in 11 U.S.C. § 101(6))
- Commodity Broker (as defined in 11 U.S.C. § 101(6))
- None of the above

**B. Check all that apply:**

- Tax-exempt entity (as described in 26 U.S.C. § 501)
- Investment company, including hedge fund or pooled investment vehicle (as defined in 15 U.S.C. § 80a-3)
- Investment advisor (as defined in 15 U.S.C. § 80b-2(a)(11))

**C. NAICS (North American Industry Classification System) 4-digit code that best describes debtor. See**

<https://www.uscourts.gov/four-digit-national-association-naics-codes>

  2     1     1     1  

**8. Under which chapter of the Bankruptcy Code is the debtor filing?**

Check one:

- Chapter 7
- Chapter 9
- Chapter 11. *Check all that apply:*

A debtor who is a "small business debtor" must check the first sub-box. A debtor as defined in § 1182(1) who elects to proceed under subchapter V of chapter 11 (whether or not the debtor is a "small business debtor") must check the second sub-box.

- The debtor is a small business debtor as defined in 11 U.S.C. § 101(51D), and its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$2,725,625. If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- The debtor is a debtor as defined in 11 U.S.C. § 1182(1), its aggregate noncontingent liquidated debts (excluding debts owed to insiders or affiliates) are less than \$7,500,000, **and it chooses to proceed under Subchapter V of Chapter 11.** If this sub-box is selected, attach the most recent balance sheet, statement of operations, cash-flow statement, and federal income tax return, or if any of these documents do not exist, follow the procedure in 11 U.S.C. § 1116(1)(B).
- A plan is being filed with this petition.
- Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b).
- The debtor is required to file periodic reports (for example, 10K and 10Q) with the Securities and Exchange Commission according to § 13 or 15(d) of the Securities Exchange Act of 1934. File the *Attachment to Voluntary Petition for Non-Individuals Filing for Bankruptcy under Chapter 11* (Official Form 201A) with this form.
- The debtor is a shell company as defined in the Securities Exchange Act of 1934 Rule 12b-2.

- Chapter 12

**9. Were prior bankruptcy cases filed by or against the debtor within the last 8 years?**

No

Yes District \_\_\_\_\_ When \_\_\_\_\_ Case Number \_\_\_\_\_

MM / DD / YYYY

If more than 2 cases, attach a separate list.

District \_\_\_\_\_ When \_\_\_\_\_ Case Number \_\_\_\_\_

MM / DD / YYYY

Debtor Nine Point Energy Holdings, Inc. Case number (if known) \_\_\_\_\_  
Name

10. **Are any bankruptcy cases pending or being filed by a business partner or an affiliate of the debtor?**  No  
 Yes Debtor See attached Schedule 1 Relationship Affiliate  
 District \_\_\_\_\_ When \_\_\_\_\_  
 List all cases. If more than 1, attach a separate list. MM / DD / YYYY  
 Case number, if known \_\_\_\_\_

11. **Why is the case filed in this district?** *Check all that apply:*  
 Debtor has had its domicile, principal place of business, or principal assets in this district for 180 days immediately preceding the date of this petition or for a longer part of such 180 days than in any other district.  
 A bankruptcy case concerning debtor's affiliate, general partner, or partnership is pending in this district.

12. **Does the debtor own or have possession of any real property or personal property that needs immediate attention?**  No  
 Yes. Answer below for each property that needs immediate attention. Attach additional sheets if needed.  
**Why does the property need immediate attention?** *(Check all that apply.)*  
 It poses or is alleged to pose a threat of imminent and identifiable hazard to public health or safety.  
 What is the hazard? \_\_\_\_\_  
 It needs to be physically secured or protected from the weather.  
 It includes perishable goods or assets that could quickly deteriorate or lose value without attention (for example, livestock, seasonal goods, meat, dairy, produce, or securities-related assets or other options).  
 Other \_\_\_\_\_

**Where is the property?** \_\_\_\_\_  
 Number Street  
 \_\_\_\_\_  
 \_\_\_\_\_  
 City State ZIP Code

**Is the property insured?**  
 No  
 Yes. Insurance agency \_\_\_\_\_  
 Contact name \_\_\_\_\_  
 Phone \_\_\_\_\_

**Statistical and administrative information**

13. **Debtor's estimation of available funds** *Check one:*  
 Funds will be available for distribution to unsecured creditors.  
 After any administrative expenses are paid, no funds will be available for distribution to unsecured creditors.

Debtor Nine Point Energy Holdings, Inc. Case number (if known) \_\_\_\_\_  
Name

14. **Estimated number of creditors**  1-49  1,000-5,000  25,001-50,000  
 50-99  5,001-10,000  50,001-100,000  
 (on a consolidated basis)  100-199  10,001-25,000  More than 100,000  
 200-999

15. **Estimated assets**  \$0-\$50,000  \$1,000,001-\$10 million  \$500,000,001-\$1 billion  
 \$50,001-\$100,000  \$10,000,001-\$50 million  \$1,000,000,001-\$10 billion  
 (on a consolidated basis)  \$100,001-\$500,000  \$50,000,001-\$100 million  \$10,000,000,001-\$50 billion  
 \$500,001-\$1 million  \$100,000,001-\$500 million  More than \$50 billion

16. **Estimated liabilities**  \$0-\$50,000  \$1,000,001-\$10 million  \$500,000,001-\$1 billion  
 \$50,001-\$100,000  \$10,000,001-\$50 million  \$1,000,000,001-\$10 billion  
 (on a consolidated basis)  \$100,001-\$500,000  \$50,000,001-\$100 million  \$10,000,000,001-\$50 billion  
 \$500,001-\$1 million  \$100,000,001-\$500 million  More than \$50 billion

**Request for Relief, Declaration, and Signatures**

WARNING – Bankruptcy fraud is a serious crime. Making a false statement in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.

17. **Declaration and signature of authorized representative of debtor**  The debtor requests relief in accordance with the chapter of title 11, United States Code, specified in this petition.  
 I have been authorized to file this petition on behalf of the debtor.  
 I have examined the information in this petition and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 03/15/2021  
 MM / DD / YYYY

**x** /s/ Dominic Spencer Dominic Spencer  
 Signature of authorized representative of debtor Printed name  
 Title Authorized Signatory

Debtor Nine Point Energy Holdings, Inc. Case number (if known) \_\_\_\_\_  
Name

18. **Signature of attorney**

**X** /s/ Kara Hammond Coyle Date 03/15/2021  
 Signature of authorized representative of debtor MM/ DD / YYYY

Kara Hammond Coyle  
 Printed name

Young Conaway Stargatt & Taylor, LLP  
 Firm name

Rodney Square, 1000 North King Street  
 Number Street

Wilmington DE 19801  
 City State ZIP Code

302-571-6600 kcoyle@ycst.com  
 Contact phone Email address

No. 4410 Delaware  
 Bar number State

**Schedule 1**

Pending Bankruptcy Cases Filed by the Debtor and Affiliates of the Debtor

On the date hereof, each of the affiliated entities listed below (including the debtor in this chapter 11 case, collectively, the “**Debtors**”) filed a voluntary petition for relief under chapter 11 of title 11 of the United States Code in the United States Bankruptcy Court for the District of Delaware. The Debtors have filed a motion requesting that the chapter 11 cases of these entities be consolidated for procedural purposes only and jointly administered under the number assigned to the chapter 11 case of Nine Point Energy Holdings, Inc.

Nine Point Energy Holdings, Inc.
Nine Point Energy, LLC
Foxtrot Resources, LLC
Leaf Minerals, LLC

**RESOLUTIONS ADOPTED BY  
UNANIMOUS WRITTEN CONSENT OF THE BOARD OF DIRECTORS OF  
NINE POINT ENERGY HOLDINGS, INC.**

**March 14, 2021**

On this 14th day of March, 2021, the undersigned, being all of the members of the board of directors (the “**Board of Directors**”) of Nine Point Energy Holdings, Inc., a Delaware corporation (the “**Company**” and, collectively with Nine Point Energy, LLC, Foxtrot Resources, LLC, and Leaf Minerals, LLC, the “**Companies**”), do hereby adopt, consent, approve and ratify the following recitals and resolutions (the “**Resolutions Adopted by Unanimous Written Consent**”), pursuant to the provisions of applicable law, based on the advice of the Company’s professionals and advisors, and after thorough discussions, effective as of the above date, in lieu of a meeting, and do hereby direct that these Resolutions Adopted by Unanimous Written Consent be filed with the minutes of the proceedings of the Company and that a copy of this these Resolutions Adopted by Unanimous Written Consent be delivered to the Secretary of the Company:

**Approval of Bankruptcy Filing**

**WHEREAS**, the Board of Directors has reviewed and considered the materials presented by the management and financial and legal advisors of the Company regarding the potential present and future liabilities of the Company, the strategic alternatives available to it, the historical performance of the Company, the assets of the Company, the market for the Company’s products and services, and the impact of the foregoing on the Company’s business;

**WHEREAS**, the Board of Directors has consulted with the management and financial and legal advisors of the Company and has considered fully each of the strategic alternatives available to the Company and the relative risks and benefits of pursuing a bankruptcy proceeding under chapter 11 of title 11 of the United States bankruptcy code (the “**Bankruptcy Code**”) with respect to each of the Companies; and

**WHEREAS**, the Board of Directors has determined that it is desirable and in the best interests of the Company, its stakeholders and other interested parties that the Company seek relief under the provisions of the Bankruptcy Code; and

**WHEREAS**, the Board of Directors has determined that it is desirable and in the best interests of the Company, its stakeholders and other interested parties that the Company obtain post-petition financing, including under one or more debtor-in-possession credit facilities.

**NOW, THEREFORE, BE IT RESOLVED**, that the Board of Directors hereby approves the commencement of a case under chapter 11 of the Bankruptcy Code by the Company (the “**Chapter 11 Case**”); and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person (as defined below) shall be, and hereby is, authorized and directed on behalf of the Company, to commence the Chapter 11 Case by executing, verifying and delivering a voluntary petition in the

name of the Company under chapter 11 of the Bankruptcy Code and causing the same to be filed with the United States Bankruptcy Court for the District of Delaware (the “**Bankruptcy Court**”) in such form and at such time as the Authorized Person executing said petition shall determine; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and directed on behalf of the Company, to seek to have the Chapter 11 Case jointly administered by the Bankruptcy Court with the separate cases commenced by the other Companies under chapter 11 of the Bankruptcy Code (the respective Chapter 11 Case together with such other separate cases, the “**Chapter 11 Cases**”); and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, on behalf of and in the name of the Company, to take all actions necessary or appropriate for the Company to obtain post-petition financing according to the terms negotiated by such Authorized Person, including under one or more debtor-in-possession credit facilities, and to effectuate the foregoing by (i) agreeing to that certain Senior Secured Superpriority Debtor-in-Possession Credit Facility Term Sheet dated on the date hereof and substantially in the form shared with the Board of Directors (the “**DIP Term Sheet**”), among AB Private Credit Investors LLC as the DIP Agent (as defined in the DIP Term Sheet), the Company, and other lenders party thereto as DIP Lenders (as defined in the DIP Term Sheet), and (ii) entering, during the Chapter 11 Cases, into the DIP Documents (as defined in the DIP Term Sheet), the DIP Swap Documents (as defined in the DIP Term Sheet), such other loan agreements, documents, notes, guaranties, security agreements, pledge agreements, swap agreements, and all other documents, agreements or instruments (collectively with the DIP Term Sheet, the “**Credit Documents**”) as may be deemed necessary or appropriate by the Authorized Person; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, on behalf of and in the name of the Company, to the extent applicable, to obtain the use of cash collateral, in such amounts and on such terms as may be agreed by any Authorized Person in accordance with the DIP Term Sheet, including the grant of replacement liens or other adequate protection, as is reasonably necessary for the continuing conduct of the affairs of the Company; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, on behalf of and in the name of the Company, to enter into such forbearance agreements, waivers, amendments or modifications, or other supplements relating to the Company’s existing indebtedness as may be deemed necessary or appropriate by such Authorized Person; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, on behalf of and in the name of the Company, to (i) agree to that certain Stalking Horse Term Sheet, dated on the date hereof and substantially in the form shared with the Board of Directors (the “**Stalking Horse Term Sheet**”), which Stalking Horse Term Sheet sets forth the principal terms of a proposed sale transaction during the Chapter 11 Cases of the Companies’ assets pursuant to a credit bid by the DIP Lenders and the Company’s lenders under that certain Credit Agreement, dated as of June 7, 2019 (as previously amended,



amended and restated, supplemented, or otherwise modified), serving as the stalking horse purchasers, pursuant to section 363(k) of the Bankruptcy Code and in accordance with the terms of the Stalking Horse Term Sheet, and (ii) enter into any definitive documentation, including, without limitation, a purchase and sale agreement during the Chapter 11 Cases for the transactions described in the Stalking Horse Term Sheet as may be deemed necessary and appropriate by such Authorized Person; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, with full power of delegation, on behalf of and in the name of the Company, to execute, verify and/or file, or cause to be filed and/or executed or verified (or direct others to do so on their behalf as provided herein), and to amend, supplement or otherwise modify from time to time, all necessary or appropriate documents, including, without limitation, petitions, affidavits, schedules, motions, lists, applications, pleadings and other documents, agreements and papers, including all Credit Documents, and to take any and all actions that the Authorized Person deems necessary or appropriate, each in connection with the Chapter 11 Cases and any post-petition financing or cash collateral usage contemplated hereby or thereby; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, on behalf of and in the name of the Company, to employ and retain the law firms of Latham & Watkins LLP and Young Conaway Stargatt & Taylor, LLP to act as attorneys for the Company, AlixPartners, LLP to act as financial advisor for the Company, Perella Weinberg Partners LP to act as investment banker for the Company, Lyons, Benenson & Company Inc. to act as compensation consultant for the Company, and Stretto to act as claims, noticing and solicitation agent for the Company, in each case in connection with the Chapter 11 Cases; and it is further

**RESOLVED**, that the Company is hereby authorized, and each Authorized Person shall be, and hereby is, authorized and empowered, on behalf of and in the name of the Company, to employ and retain such further legal, restructuring, financial, accounting and bankruptcy services firms (together with the foregoing identified firms, the “**Professionals**”) as may be deemed necessary or appropriate by the Authorized Person to assist the Company in carrying out its responsibilities in the Chapter 11 Cases and achieving a successful reorganization; and it is further

### **General**

**RESOLVED**, that each Authorized Person shall be, and hereby is, authorized and empowered, with full power of delegation, in the name and on behalf of the Company, to take or cause to be taken any and all such further action and to execute and deliver or cause to be executed or delivered, and to amend, supplement or otherwise modify from time to time, all such further agreements, documents, certificates, statements, notices, undertakings and other writings, and to incur and to pay or direct payment of all such fees and expenses, as in the judgment of the Authorized Person shall be necessary, appropriate or advisable to effectuate the purpose and intent of any and all of the foregoing resolutions; and it is further

**RESOLVED**, that all acts lawfully done or actions lawfully taken by any officer of the Company or any of the Professionals in connection with the Chapter 11 Case or any proceedings

related thereto, or any matter related thereto, be, and hereby are, adopted, ratified, confirmed and approved in all respects as the acts and deeds of the Company; and it is further

**RESOLVED**, that any and all actions, whether previously or subsequently taken by any Authorized Person or any other person authorized to act by an Authorized Person, that are consistent with the intent and purpose of the foregoing resolutions or in connection with any matters referred to herein, shall be, and the same hereby are, in all respects, ratified, approved and confirmed; and it is further

**RESOLVED**, that for the purposes of these resolutions, the term “**Authorized Person**” shall mean and include Dominic Spencer, Ashley Garber, and Daniel Taron; and it is further

**RESOLVED**, that to the extent of any inconsistency between the terms of these Resolutions Adopted by Unanimous Written Consent and the by-laws of the Company (the “**By-Laws**”), the terms of these Resolutions Adopted by Unanimous Written Consent shall control and the By-Laws shall be amended hereby; and it is further

**RESOLVED**, that these Resolutions Adopted by Unanimous Written Consent shall be governed by the laws of the State of Delaware (without regard to conflict of laws principles).

[Signature Pages Follow]

**IN WITNESS WHEREOF**, the undersigned, constituting all of the members of the Board of Directors of the Company, have executed these Resolutions Adopted by Unanimous Written Consent as of the date first set forth above.

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Patrick J. Bartels Jr.

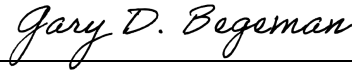


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Dominic Spencer

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Frederic F. Brace



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Gary D. Begeman

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Alan S. Dawes

[Signature Page to the Unanimous Written Consent of the Board of Directors of Nine Point Energy Holdings, Inc.]

**IN WITNESS WHEREOF**, the undersigned, constituting all of the members of the Board of Directors of the Company, have executed these Resolutions Adopted by Unanimous Written Consent as of the date first set forth above.

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Patrick J. Bartels Jr.

---

Dominic Spencer

---

Frederic F. Brace

---

Gary D. Begeman



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Alan S. Dawes

[Signature Page to the Unanimous Written Consent of the  
Board of Directors of Nine Point Energy Holdings, Inc.]

**IN WITNESS WHEREOF**, the undersigned, constituting all of the members of the Board of Directors of the Company, have executed these Resolutions Adopted by Unanimous Written Consent as of the date first set forth above.

DocuSigned by:

*Patrick Bartels*

Patrick J. Bartels Jr.

\_\_\_\_\_  
Dominic Spencer

\_\_\_\_\_  
Frederic F. Brace

\_\_\_\_\_  
Gary D. Begeman

\_\_\_\_\_  
Alan S. Dawes

[Signature Page to the Unanimous Written Consent of the Board of Directors of Nine Point Energy Holdings, Inc.]

**IN WITNESS WHEREOF**, the undersigned, constituting all of the members of the Board of Directors of the Company, have executed these Resolutions Adopted by Unanimous Written Consent as of the date first set forth above.

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Patrick J. Bartels Jr.

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Dominic Spencer

DocuSigned by:  
*Frederic F. Brace*  
Frederic F. Brace

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Gary D. Begeman

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Alan S. Dawes

[Signature Page to the Unanimous Written Consent of the Board of Directors of Nine Point Energy Holdings, Inc.]

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

	X	
	:	
In re:	:	Chapter 11
	:	
NINE POINT ENERGY HOLDINGS, INC., <i>et al.</i> , <sup>1</sup>	:	Case No. 21-_____ (_____)
	:	
Debtors.	:	(Joint Administration Requested)
	:	
	X	

**CONSOLIDATED LIST OF CREDITORS HOLDING THE  
THIRTY LARGEST UNSECURED CLAIMS**

The above-captioned debtors and debtors in possession (collectively, the “**Debtors**”) filed voluntary petitions in this Court on the date hereof for relief under chapter 11 of title 11 of the United States Code (the “**Bankruptcy Code**”). Contemporaneously with the filing of their petitions, the Debtors filed a motion requesting, among other things, authority to file a consolidated list of creditors holding the thirty largest unsecured claims (the “**Largest Unsecured Creditors List**”).

The Largest Unsecured Creditors List is based on the Debtors’ books and records as of March 14, 2021, and was prepared in accordance with rule 1007(d) of the Federal Rules of Bankruptcy Procedure for filing in the Debtors’ chapter 11 cases. The Largest Unsecured Creditors List does not include persons who come within the definition of “insider” set forth in section 101(31) of the Bankruptcy Code.

The information contained in the Largest Unsecured Creditors List shall not constitute an admission by, nor shall it be binding on, the Debtors. Moreover, nothing herein shall affect the Debtors’ rights to challenge the amount or characterization of any claim at a later date. The failure to list a claim as contingent, unliquidated, or disputed does not constitute a waiver of the Debtors’ rights to contest the validity priority and/or amount of such claim.

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<sup>1</sup> The Debtors in these cases, along with the last four digits of each Debtor’s federal tax identification number, are: Nine Point Energy Holdings, Inc. (8331); Nine Point Energy, LLC (0717); Foxtrot Resources, LLC (6690); and Leaf Minerals, LLC (9522). The Debtors’ address is 1001 17th Street, 14th Floor, Denver, Colorado 80202.

Fill in this information to identify the case:

Debtor Name Nine Point Energy Holdings, Inc., et al.

United States Bankruptcy Court for the: District of Delaware

Case number (If known): \_\_\_\_\_ Chapter 11

Check if this is an amended filing

## Official Form 204

# Chapter 11 or Chapter 9 Cases: Consolidated List of Creditors Who Have the 30 Largest Unsecured Claims and Are Not Insiders

12/15

A list of creditors holding the thirty (30) largest unsecured claims must be filed in a Chapter 11 or Chapter 9 case. Include claims which the debtor disputes. Do not include claims by any person or entity who is an insider, as defined in 11 U.S.C. § 101(31). Also, do not include claims by secured creditors, unless the unsecured claim resulting from inadequate collateral value places the creditor among the holders of the thirty (30) largest unsecured claims.

	Name of creditor and complete mailing address, including zip code	Name, telephone number, and email address of creditor contact	Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
					Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
1	CALIBER MIDSTREAM PARTNERS LP 950 17TH ST SUITE 1000 DENVER, CO 80202	TYLER JOHNSON, HEAD OF ACCOUNTING 720-630-2649 T.JOHNSON@CALIBERMIDSTREAM.COM	Trade Payable				\$3,278,964
2	HENRY HILL OIL SERVICES 120 26TH ST EAST SUITE 200 WILLISTON, ND 58801	JACOB BURTON, PRESIDENT OF OPERATIONS OFFICE@HENRYHILLOIL.COM 701-353-5450	Trade Payable				\$855,171
3	CALIBER MIDSTREAM NORTH DAKOTA LLC 950 17TH ST STE 1000 DENVER, CO 80202	TYLER JOHNSON, HEAD OF ACCOUNTING 720-630-2649 T.JOHNSON@CALIBERMIDSTREAM.COM	Trade Payable				\$409,251
4	BRIGADE ENERGY SERVICES LLC 7430 E CALEY AVE STE 220 CENTENNIAL, CO 80111	KATIE GENDREAU, OSA KGENDREAU@BRIGADE.ENERGY 701-774-2191	Trade Payable				\$389,566
5	BAKER HUGHES BUSINESS SUPPORT SERVICES 4525 8TH STREET NORTHWEST MINOT, ND 58703-0601	CONTRACTS ADMINISTRATION DAKOTA.KENNEY@BAKERHUGHES.COM 713-439-8600	Trade Payable				\$383,393
6	HILAND PARTNERS HOLDINGS LLC PO BOX 734024 DALLAS, TX 75373-4024	CALEB JOHNSON, VP COMMERCIAL CALEB_JOHNSON@KINDERMORGAN.COM 918-588-5041	Trade Payable				\$375,000
7	WEATHERFORD US LP 611 37TH AVE SE BLDG 4 W WILLISTON, ND 58801	MARK SWIFT, VP US LEGAL.CONTRACTS@WEATHERFORD.COM 713-836-4000	Trade Payable				\$335,594



	Name of creditor and complete mailing address, including zip code	Name, telephone number, and email address of creditor contact	Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
					Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
8	WILD TRANSPORT 1627 320TH AVE ISLE, MN 56342	JONATHAN IRA NUTT, CEO WILDTRANSPORTINC@GMAIL.COM 320-679-1646	Trade Payable				\$326,180
9	P2 ENERGY SOLUTIONS PO BOX 912692 DENVER, CO 80291-2612	P2AR@P2ENERGYSOLUTIONS.COM 303-292-0990	Trade Payable				\$202,849
10	GO WIRELINE LLC 320 8TH AVE E WILLISTON, ND 58801	RENEE BARNDT, OFFICE MANAGER RBARNDT@GOWIRELINE.COM 701-483-9787	Trade Payable				\$195,750
11	MCKENZIE ELECTRIC CO- OP PO BOX 649 WATFORD CITY, ND 58854	INVOICING MCKENZIEELECTRIC@SMARTHUB. COOP 701-444-9288	Trade Payable				\$186,890
12	ELITE LIFT SOLUTIONS, LLC PO BOX 18 HARDWOOD, ND 58042	TAMMY OTTESON TAMMY.OTTESON@ELITE- LIFT.COM 701-609-1989	Trade Payable				\$173,416
13	SALLYPORT COMMERCIAL FINANCE LLC PO BOX 4776 100 HOUSTON, TX 77210-4776	CHELSIE VAN VOAST CHELSIE@REGENCY-ENERGY- SERVICES.COM 307-851-6358	Trade Payable				\$162,804
14	SAVAGE SERVICES CORP PO BOX 208238 DALLAS, TX 75320-8238	DARCYS RIVAS, ACCOUNTS RECEIVABLE SUPERVISOR DARCYSRIVAS@SAVAGESERVICES. COM 801-944-6531	Trade Payable				\$136,946
15	JACAM CHEMICALS 2013 LLC 205 SOUTH BROADWAY STERLING, KS 67579	CARRIE BALL, GENERAL COUNSEL CARRIE.BALL@JACAM.COM 620-278-3355	Trade Payable				\$135,174
16	SLAWSON EXPLORATION COMPANY INC 727 N WACO STE 400 WICHITA, KS 67203	CINDY HOWELL, JIB MANAGER CHOWELL@SLAWSONCOMPANIES. COM 316-263-3201	Trade Payable	Contingent Unliquidated			\$133,184
17	EVOLUTION COMPLETIONS INC 409 8TH AVE EAST, WILLISTON, ND 58801	ALAN RONESS, PRESIDENT ALANRONESS@EVOLUTIONCOMP OSITES.NET (701) 572-2069	Trade Payable				\$124,935
18	CALIBER MEASUREMENT SERVICES LLC 950 17TH ST STE 1000 DENVER, CO 80202	TYLER JOHNSON, HEAD OF ACCOUNTING 720-630-2649 TJOHNSON@CALIBERMIDSTREAM. COM	Trade Payable				\$104,000
19	JENSCO PIPE & EQUIPMENT, INC. 5524 S. JASPER WAY CENTENNIAL, CO 80015	NATE SAYLOR, VP OPERATIONS JENSCOPIPE@COMCAST.NET 303-358-5552	Trade Payable				\$102,863
20	TWIN PEAKS ELECTRICAL 610 HILLSDALE ST HELENA, MT 59601	CHARLIE MILLER, OWNER CMILLER.TWINPEAKS@OUTLOOK. COM 701-770-6522	Trade Payable				\$101,877
21	PAT'S OFFROAD INC. 17237 HWY US-2 WILLISTON, ND 58801	CALE KAUPP ACCOUNTSRECEIVABLE@PATSOFF FROAD.COM 701-572-0843	Trade Payable				\$94,364

Name of creditor and complete mailing address, including zip code	Name, telephone number, and email address of creditor contact	Nature of the claim (for example, trade debts, bank loans, professional services, and government contracts)	Indicate if claim is contingent, unliquidated, or disputed	Amount of unsecured claim If the claim is fully unsecured, fill in only unsecured claim amount. If claim is partially secured, fill in total claim amount and deduction for value of collateral or setoff to calculate unsecured claim.		
				Total claim, if partially secured	Deduction for value of collateral or setoff	Unsecured claim
22 PELOTON COMPUTER ENTERPRISES INC 23501 CINCO RANCH BLVD STE C220 KATY, TX 77494	ACCOUNTING BILLINGUSA@PELOTON.COM 281-394-2182	Trade Payable				\$79,300
23 RUGGED ENERGY SERVICES INC 720 S MAIN ST KALISPELL, MT 59901-5342	KYLE SKYBA, FINANCE MANAGER KSKYBA@JOHNBARRETTCPA.COM 406-755-8868	Trade Payable				\$75,828
24 RUSCO OPERATING, LLC 98 SAN JACINTO BLVD, STE 550 AUSTIN, TX 78701	BRANDON PITTARD, ASSOC. GENERAL COUNSEL LEGAL@RIGUP.COM 281-467-3245	Trade Payable				\$75,750
25 SELLAND CONSTRUCTION INC. 1285 S WENATCHEE AVE WENATCHEE, WA 98801	JASON GAUL, VP JASON@SELLANDCONSTRUCTION.COM 509-662-7119	Trade Payable				\$64,890
26 ENDURANCE LIFT SOLUTIONS LLC PO BOX 843175 DALLAS, TX 75284-3175	TEENA SHERMAN, CSR MANAGER TEENA.SHERMAN@ENDURANCELI FT.COM 903-595-8600	Trade Payable				\$63,893
27 POWDER RIVER HYDRAULICS LLC PO BOX 18 HARWOOD, ND 58042	ALYSON ALYSONS@PRHUS.COM 701-609-1989	Trade Payable				\$62,261
28 KLX ENERGY SERVICES LLC 3040 POST OAK BLVD, 15TH FLOOR HOUSTON, TX 77056	MARK E COX, NE GEO VP MANAGER AR@KLXENERGY.COM 304-842-3829	Trade Payable				\$57,493
29 COLTER ENERGY SERVICES USA INC PO BOX 123330 DEPT 3330 DALLAS, TX 75312-3330	ALIX BASSO, ACCOUNTS RECEIVABLE MANAGER ABASSO@COLTERENERGY.COM 403-995-9886 EXT 108	Trade Payable				\$56,314
30 RGD TRUCKING INC 5073 146TH AVE NW WILLISTON, ND 58801	BILLIE PIPPENGER BILLIE@RGDTRUCKING.COM 701-572-1092	Trade Payable				\$53,967

**IN THE UNITED STATES BANKRUPTCY COURT  
FOR THE DISTRICT OF DELAWARE**

	x	
	:	
In re:	:	Chapter 11
	:	
NINE POINT ENERGY HOLDINGS, INC., <i>et al.</i> , <sup>1</sup>	:	Case No. 21-_____ (_____)
	:	
Debtors.	:	(Joint Administration Requested)
	:	
	:	
	x	

**CONSOLIDATED CORPORATE OWNERSHIP STATEMENT  
AND LIST OF EQUITY INTEREST HOLDERS PURSUANT  
TO FED. R. BANKR. P. 1007(a)(1), 1007(a)(3), AND 7007.1**

Pursuant to rules 1007(a)(1) and 7007.1 of the Federal Rules of Bankruptcy Procedure, Nine Point Energy Holdings, Inc. and its affiliated debtors and debtors in possession in the above-captioned cases (each, a “**Debtor**” and, collectively, the “**Debtors**”) hereby state as follows:

1. The following Debtors are 100% owned by Debtor Nine Point Energy, LLC:
  - Leaf Minerals, LLC
  - Foxtrot Resources, LLC
2. Nine Point Energy, LLC is 100% owned by Debtor Nine Point Energy Holdings, Inc.
3. Debtor Nine Point Energy Holdings Inc. is owned by a number of shareholders, as set forth below:

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
JP MORGAN SECURITIES LLC WITH RESPECT ONLY TO ITS CREDIT TRADING GROUP	4 METROTECH CTR BROOKLYN, NY 11245-0004	235,879,985
CEC II TI POOL LP	600 TRAVIS ST STE 4700 HOUSTON, TX 77002-2913	176,380,726
CANYON VALUE REALIZATION FUND LP	C/O CANYON CORPORATE ACTION 2000 AVENUE OF THE STARS FL 11 LOS ANGELES, CA 90067-4732	147,302,028
CANYON BALANCED FUND LP	C/O CANYON CORPORATE ACTION 2000 AVENUE OF THE STARS FL 11 LOS ANGELES, CA 90067-4732	103,249,766

<sup>1</sup> The Debtors in these cases, along with the last four digits of each Debtor’s federal tax identification number, are: Nine Point Energy Holdings, Inc. (8331); Nine Point Energy, LLC (0717); Foxtrot Resources, LLC (6690); and Leaf Minerals, LLC (9522). The Debtors’ address is 1001 17th Street, 14th Floor, Denver, Colorado 80202.

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
FOUR POINTS MULTI STRATEGY MASTER FUND INC	C/O SHENKMAN CAPITAL MANAGEMENT 262 HARBOR DR FL 4 STAMFORD, CT 06902-7438	60,220,444
SPECIAL SITUATIONS INVESTING GROUP II LLC	C/O GOLDMAN SACHS BANK USA 200 WEST ST NEW YORK, NY 10282-2102	50,957,988
SHENKMAN ENERGY OPPORTUNITY MASTER FUND LTD	C/O SHENKMAN CAPITAL MANAGEMENT INC 262 HARBOR DR FL 4 STAMFORD, CT 06902-7438	46,805,144
FRANKLIN ADVISER INC ACTING AS INVESTMENT MANAGER TO FHIT FRANKLIN HIGH INCOME FUND	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	46,249,855
AB PRIVATE CREDIT INVESTORS MIDDLE MARKET DIRECT LENDING FUND LP	1345 AVENUE OF THE AMERICAS FL 37 NEW YORK, NY 10105-0302	40,766,391
THE PRUDENTIAL INSURANCE COMPANY OF AMERICA	2200 ROSS AVE STE 4300 DALLAS, TX 75201-2708	36,370,199
P SCM ENERGY HY LTD	% SHENKMAN CAPITAL MANAGEMENT INC 262 HARBOR DR FL 4 STAMFORD, CT 06902-7438	35,675,572
THE PNC FINANCIAL SERVICES GROUP	C/O SHENKMAN CAPITAL MANAGEMENT INC 262 HARBOR DR FL 4 STAMFORD, CT 06902-7438	24,492,785
ELECTRONIC DATA SYSTEMS RETIREMENT PLAN	% SHENKMAN CAPITAL MANAGEMENT 262 HARBOR DR STAMFORD, CT 06902-7438	23,147,278
BOSTON INCOME PORTFOLIO	2 INTERNATIONAL PL BOSTON, MA 02110-4104	16,759,848
AB NPE HOLDINGS LLC	C/O ALLIANCE BERNSTEIN LP 1345 AVENUE OF THE AMERICAS FL 37 NEW YORK, NY 10105-0302	12,739,497
CANYON VALUE REALIZATION FUND LP	NATIONAL CORPORATE RESEARCH LTD 850 NEW BURTON RD STE 201 DOVER, DE 19904-5451	10,949,997
ORIX FUNDS CORP	1717 MAIN ST STE 1100 DALLAS, TX 75201-4612	10,191,597

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
JPMORGAN SIOF DISTRESSED	500 STANTON CHRISTIANA RD FL 2 NEWARK, DE 19713-2105	10,022,710
ELECTRONIC DATA SYSTEM 1994 PENSION SCHEME	ATTN SHENKMAN CAPITAL MANAGEMENT INC 262 HARBOR DR STAMFORD, CT 06902-7438	8,244,170
FREEMAN PARTNERS LP	100 CRESCENT CT STE 1450 DALLAS, TX 75201-1833	8,046,057
HIGH YIELD CORPORATE BOND FUND MOTHER FUND	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	6,280,841
JP MORGAN INVESTMENT FUND INCOME OPPORTUNITY FUND DISTRESSED	500 STANTON CHRISTIANA RD FL 2 NEWARK, DE 19713-2105	5,025,665
EMPLOYEES RETIREMENT SYSTEM OF TEXAS	200 E 18TH ST AUSTIN, TX 78701-1400	4,287,270
AB PRIVATE CREDIT INVESTORS MIDDLE MARKET DIRECT LENDING FUND II LP	1345 AVENUE OF THE AMERICAS FL 37 NEW YORK, NY 10105-0302	4,076,638
AB PRIVATE CREDIT INVESTORS CORPORATION	1345 AVENUE OF THE AMERICAS FL 37 NEW YORK, NY 10105-0302	3,567,059
HIGH INCOME OPPORTUNITIES PORTFOLIO	2 INTERNATIONAL PL BOSTON, MA 02110-4104	3,382,879
PUTNAM HIGH YIELD TRUST C/O PUTNAM INVESTMENTS JAMES TRAIT	ONE POST OFFICE SQ BOSTON, MA 2109	3,186,144
EATON VANCE LIMITED DURATION INCOME FUND	2 INTERNATIONAL PL BOSTON, MA 02110-4104	3,176,815
MACKENZIE CORPORATE BOND FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	3,105,342
USAA MUTUAL FUND TRUST USAA HIGH INCOME FUND	9800 FREDERICKSBURG RD SAN ANTONIO, TX 78240-4100	2,656,599
CARGILL INCORPORATED	825 TOWN & COUNTRY LANE STE 1430 HOUSTON, TX 77024	2,547,899
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO MENARD INC	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	2,334,763

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO FRANKLIN LIMITED DURATION INCOME TRUST	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	2,334,763
HIGHMARK ENERGY INC	20 HORSENECK LN STE 1 GREENWICH, CT 06830-6300	2,289,597
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO CIGNA PENSIONPLAN	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	2,231,731
FRANKLIN ADVISES INC ACTING AS INVESTMENT MANAGER TO MERCER OPPORTUNISTIC FIXED INCOME FUND	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	1,860,940
JPMORGAN SECURITIES LLC	4 METROTECH CTR BROOKLYN, NY 11245-0004	1,846,118
KAPITALFORENINGEN UNIPENSION INVEST HIGH YIELD OBLIGATIONER V #243188	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	1,567,349
MACKENZIE NORTH AMERICAN CORPORATE	BOND FUND C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,460,307
IG PUTNAM US HIGH YIELD INCOME FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,339,102
PRUDENTIAL ANNUITIES LIFE ASSURANCE CORPORATION	2200 ROSS AVE DALLAS, TX 75201-2708	1,210,762
INVESTORS CANADIAN HIGH YIELD INCOME FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,119,806
STICHTING BEWAARDER SYNTRUST ACHMEA GLOBAL HIGH YIELD POOL	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,044,727
NOMURA MULTI MANAGERS FUND GLOBAL HIGH YIELD BOND 280420	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	1,023,723
CALIFORNIA PUBLIC EMPLOYEE RETIREMENT SYSTEM SW7Y	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	971,651

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO CIGNA HEALTH & LIFE INSURANCE COMPANY	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	890,402
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO LIFE INSURANCE COMPANY OF NORTH AMERICA	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	890,402
PUTNAM VARIABLE TRUST PUTNAM VT HIGH YIELD FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	824,255
KAPITALFORENINGAN INDUSTRIENE PRNSION PORTFOLIO HIGH YIELD OBLIGATOR III KZZ08	309 W 19TH ST APT 19 NEW YORK, NY 10011-3946	692,603
PRUDENTIAL TERM REINSURANCE COMPANY	2200 ROSS AVE STE 4300 DALLAS, TX 75201-2708	637,532
BARCLAYS MULTI MANAGER FUND PLC #BAV32	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	595,694
SAFETY NATIONAL CASUALTY CORPORATION #695241	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	595,694
STATE OF NJ COMMON PENS FD D	50 W STATE ST TRENTON, NJ 08608-1220	589,571
GREAT WEST FUNDS INC GREAT WEST PUTNAM HIGH YIELD BOND FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	578,123
THE REGENTS OF THE UNIVERSITY OF CALIFORNIA EB6J	309 W 49TH ST NEW YORK, NY 10019-7316	577,965
SLAWSON EXPLORATION COMPANY INC	727 N. WACO AVE STE 400 WICHITA, KS 67203-3900	542,225
NOMURA US HIGH YIELD BOND INCOME	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	485,825
NOMURA MULTI MANAGERS FUND II US HIGH YIELD BOND 709069	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	480,815
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO FRANKLIN HIGH INCOME FUND	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	468,096
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO FHIT FRANKLIN HIGH INCOME FUND	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	445,422
FRANKLIN ADVISER IN ACTING AS INVESTMENT MANAGER TO CIGNA PENSION PLAN FOR CERTAIN FORMER EMPLOYEE	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	440,747

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
EATON VANCE TRUST COMPANY CIT FOR EMPLOYEE BENEFIT PLANS HIGH YIELD FUND	2 INTERNATIONAL PL BOSTON, MA 02110-4104	366,335
EVTC CTF EATON VANCE TR CO HIGH YIELD COMMON TRUST FUND	2 INTERNATIONAL PL BOSTON, MA 02110-4104	331,991
UNIVEST COMPANY BV HIGH YIELD BOND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	329,050
COMMONWEALTH OF MASSACHUSETTS EMPLOYEE DEFERRED COMPESATION PLAN	2 INTERNATIONAL PL BOSTON, MA 02110-4104	320,543
SIMON CHARITABLE PRIVATE LLC	1000 LAKESIDE AVENUE CLEVELAND, OH 44114	265,529
NOMURA US ATTRACTIVE YIELD CORPORATE BOND FUND MOTHER FUND	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	263,304
JPMORGAN FUND FLEXIBLE CREDIT FUND US HIGH YIELD BOND FUND	500 STANTON CHRISTIANA RD # OPS2 NEWARK, DE 19713-2105	246,132
PUTNAM WORLD TRUST PUTNAM GLOBAL HIGH YIELD BOND FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	246,132
SIMON MARKETABLE LP	1000 LAKESIDE AVENUE CLEVELAND, OH 44114	219,403
SIMON CHARITABLE PUBLIC LLC	25701 SCIENCE PARK DRIVE CLEVELAND, OH 44122	207,846
COUNSEL PORTFORLIO SERVICES INC COUNSEL FIXED INCOME	ONE POST OFFICE SQUARE BOSTON, MA 2109	206,064
PUTNAM ASSETT ALLOCATION FUND PUTNAM ASSET ALLOCATION GROWTH FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	194,616
PUTNAM PREMIERE INCOME TRUST	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	183,168
DOMINIC SPENCER	REDACTED	169,093
FRANKLIN ADVISERS INC ACTING AS INVESTMENT MANAGER TO FRANKLIN INSTITUTIONAL GLOBAL HIGH YIELD	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	167,666



Shareholder Name	Shareholder Address	Approximate Number of Shares Held
COUNSEL NORTH AMERICAN HIGH YIELD BOND FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	167,444
MONTGOMERY COUNTY EMPLOYEES RETIREMENT SYSTEM #MES09	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	161,942
PINNACOL ASSURANCE #PII9	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	161,942
WHITEPIER & CO	PO BOX 5756 BOSTON, MA 02206-5756	161,464
STICHTING PENSIONENFONDS PENSIOENFONDS TNO	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	160,272
PUTNAM ASSETT ALLOCATION FUND PUTNAM DYNAMIC ASSETS ALLOCATION BALANCED FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	154,548
STICHTING PENSIONENFONDS VOOR FYSIOTHERAPHUTEN	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	138,599
HIGH INCOME SECURITIES FUND	U S BANCORP FUND SERVICES ATTN: JOHN BUCKEL 777 E WISCONSIN AVE MILWAUKEE, WI 53202-5300	138,566
LGT SELECT FUNDS - LGT SELECT BOND HIGH YIELD	ONE POST OFFICE SQUARE BOSTON, MA 2109	137,376
GIM SPECIALIST INVESTMENT FUNDS GIM MULTI SECTOR CREDIT FUND HY BONDS	500 STANTON CHRISTIANA RD # 2FL2 NEWARK, DE 19713-2105	120,204
JPMORGAN FUNDS GLOBAL ABSOLUTE RETURN BOND FUND	6 ROUTE DE TREVES BUILDING H SENNINGERNER L-2633 LU LUXEMBOURG,	120,204
PUTNAM TOTAL RETURN TRUST	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	115,481
L 3 COMMUNICATIONS CORPORATION MASTER TRUST #590667	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	104,145
AMERICAN CENTURY INVESTMENT TRUST - HIGH INCOME FUND	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	104,114

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
CREDIT MARKETS INVESTMENT CORP	4 CHASE METROTECH CTR 15TH FLR. BROOKLYN, NY 11245-0003	99,483
JPMORGAN STRATEGIC INCOME OPPORTUNITIES FUND	500 STANTON CHRISTIANA RD OPS 2, FL 2 NEWARK, DE 19713-2105	89,395
WATFORD RE LTD	WATERLOO HOUSE 1ST FL 100 PITTS BAY ROAD PENBROKE HM 08 BERMUDA,	85,720
EATON VANCE FLOATING RATE INCOME TRUST	2 INTERNATIONAL PL BOSTON, MA 02110-4104	80,136
EATON VANCE SENIOR FLOATING RATE TRUS	2 INTERNATIONAL PL BOSTON, MA 02110-4104	80,136
PUTNAM MASTER INTERMEDIATE INCOME TRUST	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	74,431
PUTNAM VARIABLE TRUST PUTNAM DIVERSIFIED INCOME FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	74,412
KARL M WEBER	REDACTED	63,034
PUTNAM ASSETT ALLOCATION FUND PUTNAM DYNAMIC ASSET ALLOCATION CONSERVATIVE FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	62,964
PUTNAM TRUST FUND PUTNAM DYNAMIC RISK ALLOCATION FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	62,964
ASHLEY GARBER	REDACTED	57,080
TONY HALE	REDACTED	53,093
PUTNAM RETIREMENT ADVANTAGE GAA INCOME STRATEGIES PORTFOLIO	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	46,237
BRYAN GUNDERSON	REDACTED	45,321
JPMORGAN INVESTMENT FUNDS INCOME OPPORTUNITY FUND	500 STANTON CHRISTIANA RD OPS 2, FL 2 NEWARK, DE 19713-2105	44,739
PETER HILL	REDACTED	42,965

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
LEULIETTE PARTNERS LLC	10130 NORTHLAKE BLVD STE 214-343 WEST PALM BEACH, FL 33412-1101	42,965
SYLVIA KERRIGAN	REDACTED	42,965
JOHN ZELLITTI	REDACTED	42,715
DANIEL LOCKLEY	REDACTED	42,269
JON WILCOX	REDACTED	39,920
MICHAEL ROSS	REDACTED	38,016
PUTNAM DIVERSIFIED INCOME TRUST	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	37,069
TRANG DINH-PHUNG	REDACTED	35,547
DANIEL TARON	REDACTED	35,469
THE PNC FINANCIAL SERVICES GROUP INC PENSION PLAN	% SHENKMAN CAPITAL MANAGEMENT INC 262 HARBOR R 4TH FL STAMFORD, CT 6902	34,864
PUTNAM TOTAL RETURN FUND LLC	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	34,677
WHITETUNA & CO	PO BOX 5756 BOSTON, MA 02206-5756	32,625
TUNAFISH & CO	PO BOX 5756 BOSTON, MA 02206-5756	30,622
EATON VANCE SENIOR INCOME TRUST	2 INTERNATIONAL PL BOSTON, MA 02110-4104	28,620
USSA MUTUAL FUNDS TRUST USAA HIGH INCOME TRUST	9800 FREDERICKSBURG RD SAN ANTONIO, TX 78288-0001	21,603
JOHN V SIMON	REDACTED	21,483
HIGHMARK INC ENERGY OPPORTUNITY	120 5TH AVE STE 911 PITTSBURGH, PA 15222-3000	21,156

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
DOUGLAS GRIGGS	REDACTED	19,896
JON SKAARE	REDACTED	18,516
ROBIN FRANCO	REDACTED	17,051
RICHARD GABER JR	REDACTED	16,638
TRACEY FALLANG	REDACTED	15,507
PUTNAM HIGH YIELD ADVANTAGE FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	15,143
DYLAN MACKAY	REDACTED	14,328
AAREN TORRENCE	REDACTED	13,105
JOE KOVACICH	REDACTED	12,578
LIQUID CAPITAL EXCHANGE INC	5525 N MACARTHUR BLVD STE 625 IRVING, TX 75038-2672	12,322
HUNT OIL CO	DYKEMA COX SMITH CO MARK ANDREWS 1717 MAIN ST STE 4200 DALLAS, TX 75201-4876	12,125
ZACHARY SNYDER	REDACTED	10,990
CULLIN JOHNSON	REDACTED	10,739
DANIEL BURCH	REDACTED	10,176
KATHRYN MICHELLE HUGHES	REDACTED	9,174
REBECCA L HOYT	REDACTED	8,557
TASHA MENDEZ	REDACTED	8,509
PUTNAM DIVERSIFIED INCOME TRUST CAYMAN MASTER FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	7,582

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
HARE & CO LLC	C/O BNY MELLON ATTN: BOX # 11203 500 ROSS STREET 154-0455 PITTSBURGH, PA 15262-0001	7,346
RANDALL JOENS	REDACTED	7,310
KAPITALFORENINGEN INDUSTRIENS PENSION HIGH YIELD OBLIGATIONER III # KZZ08	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	7,236
DALE ROBERTSON	REDACTED	7,063
DONALD MAPES	REDACTED	6,981
PUTNAM VT HIGH YIELD FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	6,903
ALEXANDER ANDERSEN	REDACTED	6,625
JESSE MITCHELL	REDACTED	6,566
RAYMOND PEMPENGCO	REDACTED	6,552
TRACY STAHL	REDACTED	6,533
RUTH ELDER	REDACTED	6,410
TYLER LESYINSKI	REDACTED	6,125
STATE OF NEW JERSEY COMMON PENSION FUND	PO BOX 290 TRENTON, NJ 08625-0290	6,123
PUTNAM RETIREMENT ADVANTAGE GAA BALANCE PORTFOLIO	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	5,834
PUTNAM RETIREMENT ADVANTAGE GAA GROWTH PORTFOLIO	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	5,833
PUTNAM HIGH YIELD FIXED INCOME FUND LLC	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	5,833

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
PUTNAM VARIABLE TRUST PUTNAM VT GLOBAL ASSET ALLOCATION FUND	ONE POST OFFICE SQUARE BOSTON, MA 2109	5,724
SHELLWATER & CO	PO BOX 5756 BOSTON, MA 02206-5756	5,566
PAUL HILGENKAMP	REDACTED	5,159
NOMURA MULTI MANAGERS FUND II US HIGH YIELD BOND 29956	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	5,010
GREAT WEST PUTNAM HIGH YIELD BOND FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	4,898
ANDREW HARRISON	REDACTED	4,786
REBECCA HALE	REDACTED	4,726
NICKOLAS FRANK	REDACTED	4,707
BRIAN JONES	REDACTED	4,638
BRITTNY KALLEVIG	REDACTED	4,595
NORTH DAKOTA STATE UNIVERSITY	PIPPIN LAW FIRM ATTN T KALIL 111 E BROADWAY PO BOX 1487 WILLISTON, ND 58801	4,509
FRANKLIN ADVISER IN ACTING AS INVESTMENT MANAGER TO CIGNA PENSION PLAN FOR CERTAIN FORMER EMPLOYEES	1 FRANKLIN PKWY SAN MATEO, CA 94403-1906	4,453
ERIN JOHNSON	REDACTED	4,059
ANNA SOLODUKHINA	REDACTED	3,868
CHRISTIE BALDERSTON	REDACTED	3,590
GABRIEL MARTINEZ	REDACTED	3,501
REBECCA HOYT	REDACTED	3,376

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
CHRIS NELSON	REDACTED	3,335
HOW & COMPANY EATON VANCE MGMT- HIGH YIRLD BOND DEPT.	2 INTERNATIONAL PL BOSTON, MA 02110-4104	3,116
JOEL SMELLEY	REDACTED	3,035
SCOTT METCALF	REDACTED	2,954
STEPHEN HENDRIX	REDACTED	2,954
ROBERT SCHELSTRATE	REDACTED	2,894
NOMURA US ATTRACTIVE YIELD CORPORATE BOND FUND MOTHER FUND	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	2,783
JOSHUA ENTZEL	REDACTED	2,741
BRANDI PACOVSKY	REDACTED	2,741
GARVIN E. LEINTZ JR.	REDACTED	2,571
LANE OBERGFELL	REDACTED	2,420
JPMORGAN FUNDS FLEXIBLE CREDIT FUND	500 STANTON CHRISTIANA RD OPS 2, FL 2 NEWARK, DE 19713-2105	2,225
PWT PUTNAM GLOBAL HIGH YIELD BOND FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	2,114
COUNSEL FIXED INCOME	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,782
PUTNAM DYNAMIC ASSET ALLOCATION GROWTH FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,670

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
STICHTING PENSIOENFONDS HOOGOEVENS	NOMURA CORPORATE RESEARCH AND ASSET MANAGEMENT INC 309 WEST 49TH STREET 19TH FLOOR NEW YORK, NY 10019-7316	1,670
JARED DANIELS	REDACTED	1,634
NICOLAS SMITH	REDACTED	1,575
PUTNAM PREMIER INCOME TRUST	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,557
PATRICIA LAJAN HICKS	REDACTED	1,514
ROCHELLE RESENDEZ	REDACTED	1,473
PACIFIC SELECT FUND FLOATING RATE LOAN PORTFOLIO	2 INTERNATIONAL PL BOSTON, MA 02110-4104	1,335
PUTNAM DYNAMIC ASSET ALLOCATION BALANCE FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,335
LGT SELECT BOND HIGH YIELD	C/O PUTNAM INVESTMENTS JAME TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	1,223
TIMOTHY MCINTYRE	REDACTED	1,154
LARRY HATTER	REDACTED	1,154
BBH CO CUST FOR BBH LUX FBO GIM SPECIALIST INVESTMENT FUNDS	ATTN CORP ACTIONS VAULT 140 BROADWAY NEW YORK, NY 10005-1108	1,113
JP MORGAN FUNDS GLOBAL ABSOLUTE RETURN BOND FUND	60 VICTORIA EMBANKMENT LONDON, EC4YOJP	1,113
CHRISTOPHER CHILDERS	REDACTED	1,064
JAMES WEAVER	REDACTED	1,019
PLYMOUTH COUNTY RETIREMENT ASSOCIATION	2 INTERNATIONAL PL BOSTON, MA 02110-4104	1,000



Shareholder Name	Shareholder Address	Approximate Number of Shares Held
JARED V. DANIELS	REDACTED	997
AUSTIN ARNDT	REDACTED	933
DONNA PHILLIPS	REDACTED	839
RESORTSKYS & CO	PO BOX 5756 BOSTON, MA 02206-5756	779
RESORTLAKE & CO	PO BOX 5756 BOSTON, MA 02206-5756	779
PUTNAM VT DIVERSIFIED INCOME FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	666
PUTNAM MASTER INTERMEDIAT INCOME TRUST	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	647
PUTNAM DYNAMIC ASSET ALLOCATION CONSERVATIVE FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	555
PUTNAM DYNAMIC RISK ALLOCATION FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	554
CYNTHIA BELLIS	REDACTED	465
RESORTPEARL & CO	PO BOX 5756 BOSTON, MA 02206-5756	333
PUTNAM VT GLOBAL ASSET ALLOCATION FUND	C/O PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	110
JPMORGAN FUNDS INCOME OPPORTUNITY PLUS FUND	500 STANTON CHRISTIANA RD OPS 2 FL 2 NEWARK, DE 19713-2105	46
PUTNAM HIGH INCOME SECURITIES FUND	CO PUTNAM INVESTMENTS JAMES TRAIT ONE POST OFFICE SQUARE BOSTON, MA 2109	33

Shareholder Name	Shareholder Address	Approximate Number of Shares Held
NOMURA HIGH YIELD FUND #847887	309 W 49TH ST FL 19 NEW YORK, NY 10019-7316	31

Fill in this information to identify the case:

Debtor Name Nine Point Energy Holdings, Inc.  
 United States Bankruptcy Court for the: District of Delaware  
 Case number (If known): \_\_\_\_\_ Chapter 11

## Official Form 202

# **Declaration Under Penalty of Perjury for Non-Individual Debtors**

12/15

An individual who is authorized to act on behalf of a non-individual debtor, such as a corporation or partnership, must sign and submit this form for the schedules of assets and liabilities, any other document that requires a declaration that is not included in the document, and any amendments of those documents. This form must state the individual's position or relationship to the debtor, the identity of the document, and the date. Bankruptcy Rules 1008 and 9011.

**WARNING -- Bankruptcy fraud is a serious crime. Making a false statement, concealing property, or obtaining money or property by fraud in connection with a bankruptcy case can result in fines up to \$500,000 or imprisonment for up to 20 years, or both. 18 U.S.C. §§ 152, 1341, 1519, and 3571.**

### **Declaration and signature**

I am the president, another officer, or an authorized agent of the corporation; a member or an authorized agent of the partnership; or another individual serving as a representative of the debtor in this case.

I have examined the information in the documents checked below and I have a reasonable belief that the information is true and correct:

- Schedule A/B: Assets—Real and Personal Property (Official Form 206A/B)*
- Schedule D: Creditors Who Have Claims Secured by Property (Official Form 206D)*
- Schedule E/F: Creditors Who Have Unsecured Claims (Official Form 206E/F)*
- Schedule G: Executory Contracts and Unexpired Leases (Official Form 206G)*
- Schedule H: Codebtors (Official Form 206H)*
- Summary of Assets and Liabilities for Non-Individuals (Official Form 206Sum)*
- Amended Schedule \_\_\_\_\_*
- Chapter 11 or Chapter 9 Cases: List of Creditors Who Have the 30 Largest Unsecured Claims and Are Not Insiders (Official Form 204)*
- Other document that requires a declaration Corporate Ownership Statement and List of Equity Security Holders*

I declare under penalty of perjury that the foregoing is true and correct.

Executed on 03/15/2021  
 MM / DD / YYYY

x /s/ Dominic Spencer  
 Signature of individual signing on behalf of debtor

Dominic Spencer  
 Printed name

Authorized Signatory  
 Position or relationship to debtor